

**FDA**  
**Office of Enforcement and**  
**Import Operations**  
*Division of West Coast*  
*Imports*

***Update on FDA Food***  
***Imports***

***LA Chamber of Commerce***

**March 13, 2018**

**Dan Solis**  
**Division Director**





# FDA AUTHORITIES



**Office of  
Regulatory  
Affairs**



**Office of  
International  
Programs**



**Center for  
Food  
Safety &  
Applied  
Nutrition**



**Center for  
Drug  
Evaluation &  
Research**



**Center for  
Biologics  
Evaluation &  
Research**



**Center for  
Devices &  
Radiological  
Health**



**Center for  
Veterinary  
Medicine**



**Center for  
Tobacco  
Products**

# Globalization of Production

## Food

- 50% of fresh fruits
- 20% of fresh vegetables
- 80% of seafood eaten domestically come from outside the U.S. Other agencies have it higher.



## Devices

- 42% of all medical devices used in the U.S. are imported

## Drugs

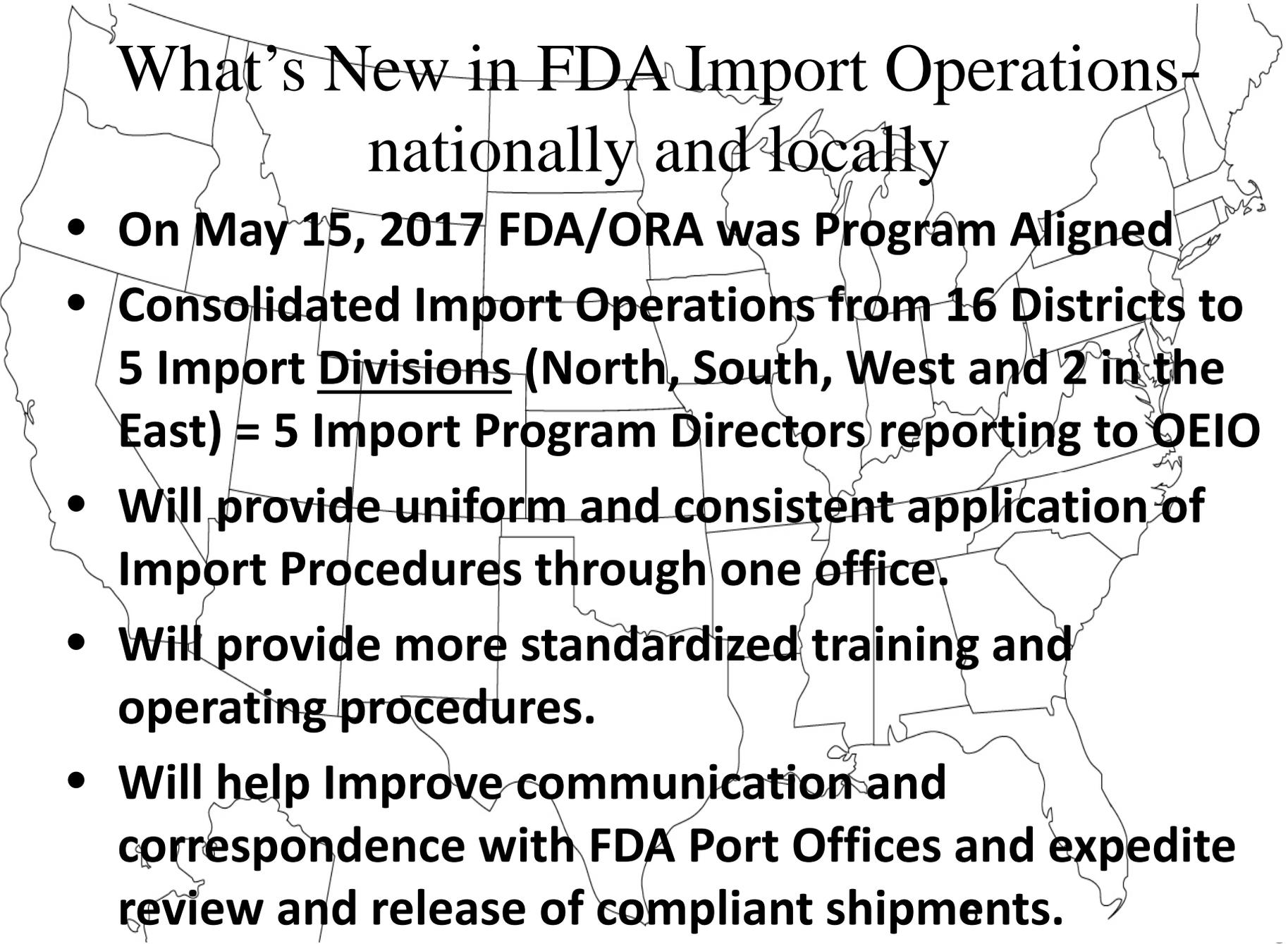
- 80% of API used in the U.S. are manufactured abroad
- 40% of finished drugs are manufactured abroad

# Globalization: Old Shenzhen



# Globalization: Shenzhen Today!

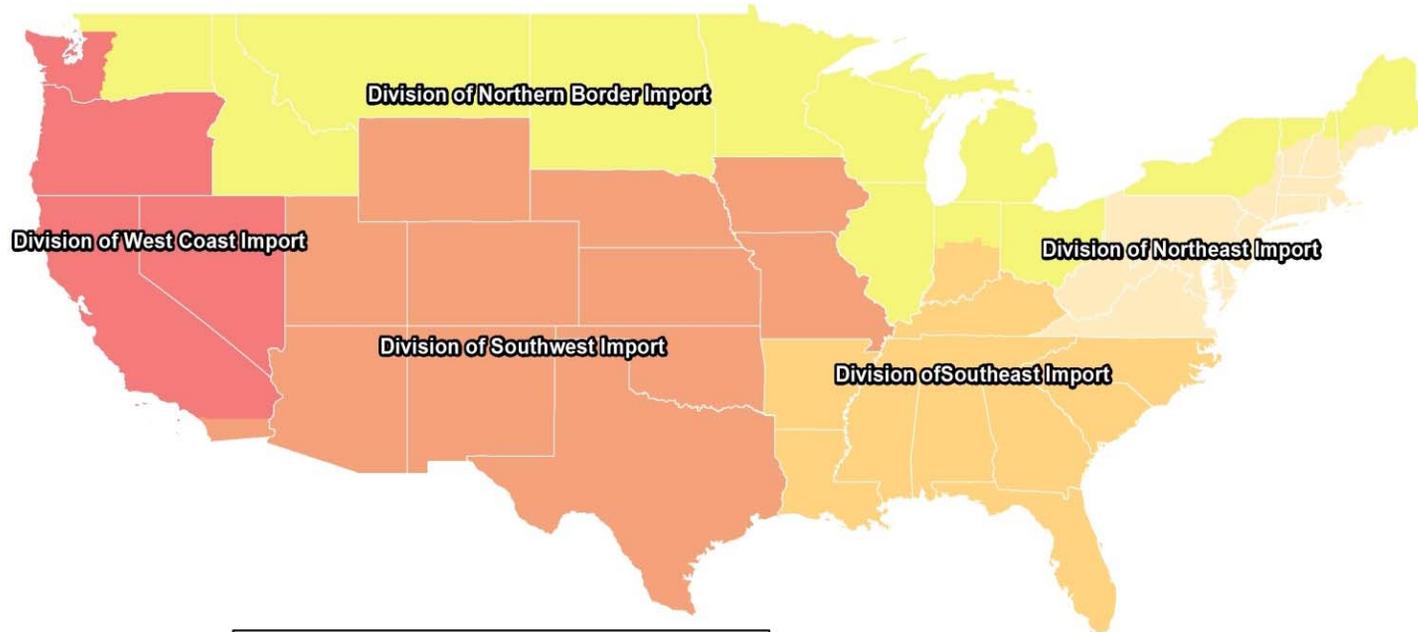




## What's New in FDA Import Operations- nationally and locally

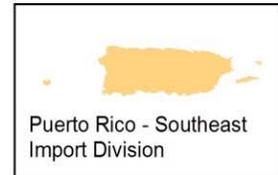
- **On May 15, 2017 FDA/ORR was Program Aligned**
- **Consolidated Import Operations from 16 Districts to 5 Import Divisions (North, South, West and 2 in the East) = 5 Import Program Directors reporting to OEIO**
- **Will provide uniform and consistent application of Import Procedures through one office.**
- **Will provide more standardized training and operating procedures.**
- **Will help Improve communication and correspondence with FDA Port Offices and expedite review and release of compliant shipments.**

# Office of Enforcement and Import Operations (OEIO)



**Import Program Divisions**

	Division of Northeast Import (CT, DC, DE, MA, MD, ME, NY, NH, PA, RI, VA, VT, WV)
	Division of Northern Border Import (ID, IL, IN, ME, MI, MN, MT, NH, ND, NY, OH, SD, VT, WA, WI)
	Division of Southeast Import (AK, AL, AR, FL, GA, IN, KY, LA, MS, NC, PR, SC, TN)
	Division of Southwest Import (AZ, CO, IA, KS, MO, NE, NM, OK, TX, UT, WY)
	Division of West Coast Import (CA, HI, NV, OR, WA)
	State Boundaries



Source: ORA

Prepared by Office of Regulatory Affairs (ORA) Division of Planning, Evaluation & Management (DPEM), Program Evaluation Branch, 2017

# Division of West Coast Imports



Airports

Seaports

CENTRALIZED EXAMINATION STATIONS (CES)

International Mail Facility (IMF)



**MAIN IMPORT OPERATIONS OFFICE – Long Beach, CA**

States of:

- California
- Oregon
- Washington
- Nevada
- Hawaii

# GENERAL IMPORT PROCESS



## Human and Animal Food



Mandatory Fields



Pharmaceutical

Medical Device

Biologics

Tobacco

**DIVISION OF FOOD DEFENSE TARGETING (DFDT)**  
(formerly the **PRIOR NOTICE CENTER**)



PREDICT/District Screening and Review



# FDA's New Food Legislations



- **Food Safety Modernization Act (FSMA)**. Signed into law on January 4, 2011.
- The most sweeping reform of **our food safety laws in more than 70 years**.
- It aims to ensure the U.S. food supply is safe by shifting the focus **from responding to contamination to preventing it**.
  - Seven Foundational Rules:
    - Produce Safety
    - Preventive Controls for Human Food
    - Preventive Controls for Animal Foods
    - Foreign Supplier Verification Program (FSVP)
    - Accreditation of third-party auditors for foreign facilities
    - Mitigation for Intentional Adulteration of Food
    - Sanitary transportation of Human and Animal Food

# Key Principles of FSVP Rule

- Requires importers to share responsibility for ensuring safety of imported food
- Risk-based (according to types of hazards, importers, and suppliers)
- Flexibility in meeting requirements (assessing activities conducted by others)
- Alignment with PC supply-chain provisions

# What is an FSVP?

- FSVP = Foreign Supplier Verification Programs
- It is a program that **importers covered by the rule must have in place to verify that their foreign suppliers** are producing food in a manner that provides the **same level of public health protection as the preventive controls or produce safety regulations**, as appropriate, and to ensure that the supplier's food is not adulterated and is not misbranded with respect to allergen labeling.

# Standard FSVP Requirements

A graphic in the top right corner consisting of a blue square partially obscured by an orange ribbon-like shape that folds over the top and right edges. The letters "FSVP" are written in white on the orange ribbon.

FSVP

- Develop FSVP
- Conduct Hazard Analysis
- Evaluate Risks Posed by a Food and Performance of the Foreign Supplier
- Approval of Foreign Supplier
- Foreign Supplier Verification Activities
- Corrective Actions
- Maintenance of Records

Goal: You want to show the FDA Investigator that you are taking steps to ensure the food you have imported are safe and are not misbranded

# Who Must Comply?

- “Importer” is U.S. owner or consignee of a food at time of U.S. entry.
- If no U.S. owner or consignee at entry, importer is U.S. agent or representative of the foreign owner or consignee, as confirmed in signed statement of consent.

# FSVP Importer – Key Points

A graphic element in the top right corner consisting of a blue square partially obscured by an orange ribbon-like shape that folds over it. The letters "FSVP" are written in white on the orange ribbon.

- FSVP Importer may or may not be the CBP Importer of Record.
- Regulation is specific as to who the FSVP importer is and that they are to be located in the US.
- At time of Entry the owner of the product is the FSVP importer.
- **Communication** is key for the Importer of Record and the FSVP Importer before you Import.



# What is VQIP?

- Voluntary Qualified Importer Program
- FDA required to establish a program to provide for the expedited review of food imported by voluntary participants.
- Eligibility is limited to importers who demonstrate a high level of control over the safety and security of their supply chains.
- VQIP Importer is “the person that brings food, or causes food to be brought, from a foreign country into the customs territory of the United States.”
- Final guidance can be found here:

<http://www.fda.gov/Food/GuidanceRegulation/FSMA/ucm448574.htm>



# Timing of VQIP Program

- Guidance for Industry published November 2016
- First AB recognized January 31, 2018
- Applications accepted January 31 – May 31, 2018
- First benefit period to begin October 1, 2018
- **How do I apply?**
  - Visit the [FDA Industry Systems website](#) to establish an online account.
  - From January 1 to May 31 each year, submit online a “Notice of Intent to Participate” in VQIP.
  - Your VQIP application must be renewed each year.

# Draft Benefits of VQIP



- Expedited entry into the U.S. for all foods included in an approved VQIP application.
- Examination and/or sampling generally limited to “for cause” situations in which there is a potential threat to public health.
- Any sampling or examination done at destination or another location chosen by the importers
- Expedited laboratory analysis of any samples
- VQIP importers Help Desk.
- Public posting on the FDA’s VQIP web page of approved VQIP importers, if desired.



# Questions

- VQIP Importer's Help Desk
  - M-F 8am – 8pm EST
  - [FSMAVQIP@fda.hhs.gov](mailto:FSMAVQIP@fda.hhs.gov)
  - 1-301-796-8745
- Website:  
<https://www.fda.gov/Food/GuidanceRegulation/ImportsExports/Importing/ucm490823.htm>



# Food Registration

- The **Public Health Security and Bioterrorism Preparedness and Response Act of 2002** (the Bioterrorism Act) directs the Food and Drug Administration (FDA), to take steps to protect the public from a threatened or actual terrorist attack on the U.S. food supply and other food-related emergencies.
- To carry out certain provisions of the Bioterrorism Act, FDA established regulations requiring that:
  - Food facilities register with FDA, and
  - FDA be given advance notice on shipments of imported food.



# Food Registration

- **FDA Food Safety Modernization Act (FSMA)**, amended section **415 of the Federal Food, Drug, and Cosmetic Act (FD&C Act)**, in relevant part, to require that facilities engaged in **manufacturing, processing, packing, or holding food** for consumption in the United States submit additional registration information to FDA.
- Section 415 requires food facilities required **to register with FDA to renew such registrations every other year**, and provides FDA with authority to suspend the registration of a food facility in certain circumstances. FDA may by order suspend the registration of a facility.



# Food Registration

- All food facility registrations are required to be submitted to the FDA electronically, although this requirement does not take effect until **January 4, 2020**.
- **Who Must Register?**
  - If you are the owner, operator, or agent in charge of either a **domestic or foreign facility that is engaged in manufacturing/processing, packing, or holding of food for human or animal consumption in the United States**, you must register with FDA, unless you are exempt under 21 CFR 1.226 from the requirement to register.
  - If you are an owner, operator, or agent in charge of a **domestic facility**, you must register your facility **whether or not the food from the facility enters interstate commerce** (21 CFR 1.225(b)).
  - If you are the owner, operator, or agent in charge of a facility, **you may authorize an individual to register your facility on your behalf** (see 21 CFR 1.225(c) and 1.230(a)). **A foreign facility's U.S. agent may, but is not required to, register the facility** (21 CFR 1.230).



# Food Registration

- More information can be found here:

<https://www.fda.gov/forindustry/fdabasicsforindustry/ucm234625.htm>

- Food Licensing

- In the state of California:

- California Department of Public Health (CDPH) – Food and Drug Branch (FDB)

- CA registration and licensing

- <https://www.cdph.ca.gov/Programs/CEH/DFDCS/Pages/FDBPrograms/FoodSafetyProgram.aspx>

- City of Los Angeles – business licensing requirements



# FDA LEADERSHIP



Dr. Scott Gottlieb was sworn in as the 23rd Commissioner of Food and Drugs on May 11, 2017.

“FDA always faces big challenges because of where it sits at the intersection of so many critical concerns. By virtue of the fact that people’s lives – quite literally – depend on what we do.”

# Thank You For Your Attention!



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Thank you!



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