Automated Commercial Environment Overview and Status Update
Agenda

ACE Program Successes

ITDS

Current Capabilities

- Account Management
- Reports
- Revenue
- Cargo Control and Release

Future Capabilities

- Account Management, Reports and Entry Summary
- Cargo Control and Release

Portal Application

Additional References
Program Successes

New ACE Secure Data Portal Features

Enhanced Reports with Near Real Time Data

Periodic Monthly Statement

Stakeholder Support

ITDS Access to ACE

Technology Support Center

Electronic Filing for Truck Manifest Data

Efficient Communications between Trade and Government
International Trade Data System

46 International Trade Data System Participating Government Agencies (PGAs), including:

- Animal and Plant Health Inspection Service
- Census Bureau

More than 400 ACE portal end users from 27 PGAs

Per Office of Management and Budget directive M-07-23:

- 10 of the 12 departments identified have chosen a senior executive to serve on the interagency ITDS team
- 36 of the 45 PGAs have provided an implementation plan to fully utilize ITDS by 2009
Account Management Capabilities
## Account Based Benefits

<table>
<thead>
<tr>
<th><strong>Merge Accounts</strong></th>
<th><strong>Cross Account Access</strong></th>
<th><strong>Broker Management</strong></th>
<th><strong>Account Types</strong></th>
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<tbody>
<tr>
<td>Streamlines the process for merging accounts within the ACE Secure Data Portal</td>
<td>Allows ACE Portal users to access multiple accounts using one user-id and password</td>
<td>Maintain compliance with 19 CFR 111 reporting responsibilities and track/respond to CBP on compliance issues, such as triennial reports</td>
<td>Broker, Carrier, Cartman, Driver/Crew, Facility Operator, Filer, Foreign Trade Zone, Importer, Lighterman, Service Provider, Surety</td>
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</table>
Report Categories

Importer

Broker

Truck Carrier
Report Features

Click and drag desired data elements to modify or fully customize reports to suit your business needs...
Reports Data Refresh

Periodic Monthly Statement
Data Refreshed Hourly

Ocean and Rail Bill of Lading
Near Real Time Data Refreshed
Every 15 Minutes

Entry and Entry Summary
Data Refreshed Nightly

Truck Carrier Transaction
Data Refreshed Nightly

Bond Data Refreshed Nightly

Compliance Data
Refreshed Monthly
Key Reports

Filer or Importer Discrepancy Rate

Filer or Importer Quick View
- Number of Entries and Values
- Top 5 HTS Numbers
- Top 5 Ports
- Top 5 Customers (IR Numbers)

Entry Summary Aggregate and Detail Reports
*(ESM Reports reflect only ACE Entry Summaries)*

Cargo Exam and Entry Summary Compliance Aggregate and Detail Reports

Periodic Monthly Statement Reports
- Aged Entry Date
- Sub-Ledger Report
- Periodic Statement Quick View – Broker
- Periodic Statement Quick View – Importer

Bill of Lading Near Real Time Report

Crossings by Drivers Report
Revenue Capabilities
Revenue

Don't Break the Bank!

Periodic Monthly Statement

Simplifies the processing of duties and fees by moving from a transaction-by-transaction payment process to an interest-free periodic monthly statement process.

Allows all eligible shipments released during the month to be paid on the 15th working day of the following month.
General Parameters

The following entry summary payment options are available to all periodic monthly statement participants:

- Single Pay
- Automated Broker Interface (ABI) Daily Statement
- Periodic Monthly Statement

All ABI entries covered by a continuous bond may be flagged for inclusion on a periodic monthly statement except:

- Reconciliation (Type 09)
- NAFTA Duty Deferral (Type 08)
- Entries with tax class codes
General Information

Benefits:

- Provides additional flexibility in the management of working capital required for duty payments
- Allows the filer to select either a national or a port statement
- Allows the broker to pay on behalf of the importer on either an importer or broker statement from the importer or broker Automated Clearinghouse (ACH) account
- Allows accounts to view the statement as it is being built

Common Issues:

- Sending incorrect month on quota
- Revenue-free statements do not show on the monthly statement
Recent Federal Register Notice

Liquidated Damages for Late or Non-Payment of Periodic Monthly Statement Federal Register Notice (73 FR 61891) was published on October 17, 2008

CBP discretion to take any of the following actions:

- Suspend the importer of record from participation in Periodic Monthly Statement;
- Require the importer of record to pay estimated duties and fees on an entry by entry basis;
- Require the importer of record to file entry summary documentation with estimated duties and fees attached before merchandise is released from any CBP port.
ACE Entry Summary Processing

Entry summary processing for consumption (entry type 01) and informal entries (entry type 11)

- System of origin will determine system of record for that entry summary

Deployed through a phased rollout

**Phase One**
- Initial Pilot Ports
  - Buffalo, Chicago, Laredo, and Long Beach

**Phase Two**
- 17 Ports
  - Miami, New Orleans, Houston, San Francisco, Seattle, El Paso, Boston, San Diego, Newark, JFK Airport, Baltimore, Philadelphia, Cleveland, Tucson, Tampa, Detroit and Atlanta

**Phase Three**
- All Remaining Ports
Entry Summary Functional Highlights

ACE Entry Summaries

CBP Forms
Respond to CBP Forms 28, 29, and 4647 through the ACE portal (does not include ABI filing of forms)

Post Summary Documents Required Processing
Respond through the portal to a post summary request for documents

Census Warning Processing
Electronically correct Census warnings through the submission of an override code for entry summaries prior to or after receiving a Census warning message through ABI

and ACS Entry Summaries

Blanket Declarations
Post blanket declaration records through the ACE portal for CBP to view nationwide
CBP Forms 28, 29 and 4647

CBP Forms 28 (Request for Information), 29 (Notice of Action) and 4647 (Notice to Mark and/or Notice to Redeliver) will be created by CBP and posted to the Trade’s Task List.

CBP can mail and post to Trade’s ACE Portal.

Trade Portal Users can interactively respond to the form and attach/upload documents in response to CBP via the Portal.

Trade Portal Users can select their preferred method of communication via the Portal (mail, Portal or both).
Trade View – Forms
Trade View – Form 28
Post Summary Request for Documents

This process excludes system generated documents required.
Census Warning Processing

Correct Census Warnings electronically on ACE entry summaries (type 01 or 11) through the transmission of an override code.

Example scenarios are:

- If you know that a Census warning will be generated for the entry summary/line item, you will be able to do a preemptive Census override when filing the entry summary.
- If you receive a Census warning due to an error in reporting, you will be able to fix the entry summary/line item and then report the Census override code if needed.
- If you file the entry summary and receive a Census warning but you know the data is correct, you will be able to send the Census override code.
Trade Declaration Record
Current ACE Capabilities
Account Management, Reports and Entry Summary Capabilities
Highlight of ESAR Releases

Winter 2010 – A2.3.1.a
Include processing of entry summaries with anti-dumping and countervailing duties

Future Entry Summary Accounts and Revenue Release(s)
Include all entry summary types, post summary corrections, reconciliation and enhanced account management and report features
AD/CVD Case Management

AD/CVD Case

- Available via ACE Portal and ABI
- Search, display and print via the Portal
- New ABI AD/CVD Case Query
- Expansion of data contained within the case
  - Reference to associated AD/CVD messages
  - Expanded Event Lifecycle Log
    - Critical circumstances: gap period, suspension agreements, amendments, etc.
  - Inclusion of specific duty rates
  - Entry Summary Suspension
  - Administrative Review Periods
  - Parties affected by the AD/CVD Case
  - Official Case Name Detailed

A2.3.1.a Winter 2010

AD/CVD Messages

- Available via CBP.gov website
- Search, display and print via the Portal
- Expansion of the data within the messages
- Expanded message types and categories will more accurately reflect the action taken
- Messages available on the Portal
- Company details
Type 03 Entry Summary Processing Changes

Identify different parties at the line level including manufacturer, exporter, consignee and sold to party

Provide non-reimbursement statement at the line level

Provide blanket declaration number at the line level

Create blanket declarations in the portal for non-portal accounts

Identify ad valorem and specific duty rates by case at the line level

CSMS #09-000251 New ACE ABI CATAIR Chapters for the next ESAR Release have been posted on CBP.GOV Date: 6/22/2009
Enhancements to Declarations

Ability for a broker to create a declaration for a non-portal Importer account only

Case Number: Increased from one to 50

Manufacturer ID: Increased from 20 to 50

Case Number sub-tab added to display

Ability to drill on the 10 digit case number to view details
Report Capabilities

Shared Reports
- Share customized reports with any user in account with access to ACE reports

New Reports
- Create new anti-dumping and countervailing duty reports

New Carrier Reports
- View near real time bill of lading status reports
- Customize carrier reports
Future Cargo Control and Release
Highlight of Future CCR Releases

M1 – Ocean and Rail manifest
Provides a common “Multi-Modal” database and shared cargo control software for sea and rail modes of transportation

M2.1 – Air manifest
Inclusion of air cargo processing into ACE Multi-Modal Manifest environment

Future CCR Release(s)
Modernizes Cargo Release
Sea and Rail Manifest (M1)

Features

- Validation of trade partner authorization to use custodial bonds to be maintained by the trade
- Extends the ‘broker download’ functionality into the sea cargo environment
- CBP will be able to place and remove holds at the container level
- Identification of un-manifested containers through vessel stowage plan
- Bring in PGAs and provides them with hold/hold removal functionality
- Retires ACS vessel and rail manifest processing
Sea and Rail Manifest (M1)

Deployment

- The core programs supporting ocean and rail cargo control (manifest) processing were moved into the ACE environment in January 2009.
- Following that successful delivery, a ‘work off’ plan was implemented to update the core M1 programs with functionality required in M1 before it can go live:
  - Gate-Out functionality
  - 10+2 Importer Security Filing for ocean cargo and the
  - Linkage to the e214 Automated Foreign Trade Zone module
- The “go live” data is yet to be determined
CCR Releases Air Manifest (M2.1)

Features:

- Inclusion of air cargo processing into ACE Multi-Modal Manifest environment
- Improves cargo control for CBP, brings PGAs into air environment, better information for CBP and PGAs
- Improved In-bond functionality and intermodal In-Bond cargo movements
- Holds at master, house, sub-house and simple bill of lading levels in air cargo
- Electronic transfer of bond liability between trade partners.
- New report capabilities for trade partners and CBP field officers via the ACE Portal
- Broker download capability extended to air cargo environment
- Expand 2nd Notify capability into air cargo processing
CCR Releases Air Manifest (M2.1)

Status:

- Identified core and non core processes
- Allows Cargo Control and Release team to move forward into the preliminary design phase
- Anticipate delivery date – To be determined
- Retirement of legacy Air AMS manifest system
For more information, visit “ACE: Modernization Information Systems” at www.cbp.gov/modernization or send an e-mail to: CBP.CBPCSPO@dhs.gov